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ATTENTION ATTENTION ATTENTION

Method of Refund:

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- ☐ Treasury Check

Patent/TM/App/Serial # 10 / 035,589

Program Area Publishing

Date Processed 10 / 11 / 2005

ATTENTION ATTENTION ATTENTION



PATENT & TRADEMARK OFFICE
COMMUNICATIONS SECTION

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DEPT REF

2005 SEP -2 PM 3:43

U.S. PATENT & TRADEMARK OFFICE

PATENT
Customer No. 22,852
Attorney Docket No. 06502.0368-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Guy L. STEELE, Jr.

Application No.: 10/035,589

Filed: December 28, 2001

For: FLOATING POINT UNIT FOR
DETECTING AND
REPRESENTING INEXACT
COMPUTATIONS WITHOUT
FLAGS OR TRAPS

)
)
)
) REFUND SECTION
) ACCOUNTING DIVISION
) OFFICE OF FINANCE
)
) Confirmation No.: 2895
)
)
)
)

Mail Stop 16

Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1700.00

REQUEST FOR REFUND

In accordance with 37 C.F.R. § 1.26, Applicants request a refund of the issue fee (\$1,400.00) and publication fee (\$300.00) paid on August 4, 2005, totaling \$1,700.00. Applicant received a Notice of Allowance and Fee(s) Due in this case on October 27, 2004, and paid the issue and publication fees on January 27, 2005. On April 1, 2005, however, Applicant filed a Petition to Withdraw Patent From Issue, which was granted in a Decision mailed on April 4, 2005. A supplemental Notice of Allowance and Fee(s) Due was then mailed on May 6, 2005, and the issue and publication fees were inadvertently paid again on August 4, 2005. As evidence of the foregoing, Applicant attaches hereto:

1. Copy of the Notice of Allowance mailed October 27, 2004;

Adjustment date: 10/26/2005 RCLEMONS
04/05/2005 REYENEE 00000169 10035589
-1400.00 00

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Customer No. 22,852
Attorney Docket No. 06502.0368-00
Application No. 10/035,589

2. Copy of the postcard receipt evidencing that the U.S. Patent and Trademark Office received payment of issue and publication fees on January 27, 2005;
3. Copy of the Decision on the Petition mailed April 4, 2005;
4. Copy of the Notice of Allowance mailed May 6, 2005, indicating \$0 issue fee and \$0 publication fee required; and
5. A copy of the receipt evidencing that the U.S. Patent and Trademark Office received a second payment of the issue and publication fees on August 4, 2005.

Since the issue and publication fees in this case were paid twice, a refund of the second issue and publication fees payment on August 4, 2005, is respectfully requested. Please credit the refund to our Deposit Account No. 06-0916 and forward the appropriate notification to our Financial Services Department.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: August 31, 2005

By: Nathan A. Sloan
Nathan A. Sloan
Reg. No. 56,249
(202) 408-4312

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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(703) 746-4000**

Express Mail No. EL 984625175 US

or **Fax**

INSTRUCTIONS: This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

10/27/2004

Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, DC 20005-3315

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/035,589	12/28/2001	Guy L. Steele JR.	06502.0368	2895

TITLE OF INVENTION: FLOATING POINT UNIT FOR DETECTING AND REPRESENTING INEXACT COMPUTATIONS WITHOUT FLAGS OR TRAPS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$300	\$1670	01/27/2005
EXAMINER		ART UNIT	CLASS-SUBCLASS		
NGO, CHUONG D		2124	708-497000		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Finnegan, Henderson,

Farabow, Garrett &

Dunner L.L.P.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Sun Microsystems, Inc., Santa Clara, CA

Please check the appropriate assignee category or categories (will not be printed on the patent):

☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☒ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-0916 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

D. Kent Stier

Typed or printed name D. Kent Stier

Date January 27, 2005

Registration No. 50,640

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Guy L. STEELE, JR.

Application No.: 10/035,589

Filed: December 28, 2001

For: FLOATING POINT UNIT FOR DETECTING AND REPRESENTING INEXACT
COMPUTATIONS WITHOUT FLAGS OR TRAPS

Confirmation No.: 2895

Group Art Unit: 2124

Examiner: Chuong D. Ngo



Express Mail Label No. EL 984625175 US

1. Certificate Under 37 C.F.R. § 1.10 of Mailing by "Express Mail" (1 pg)
2. Petition to Defer Issuance of Patent (2 pgs)
3. Letter Requesting Acknowledgment of Information Disclosure Statements Filed Under 37 C.F.R. § 1.97 along with copies of Information Disclosure Statements filed on November 4, 2004; November 23, 2004; and December 23, 2004, and their respective stamped postcards (15 pgs total)
4. Issue Fee Transmittal (1 pg)
5. Fee Address for Maintenance Fee Purposes in Accordance with 37 C.F.R. § 1.363 (2 pgs)

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FEB - 9 2005

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, LLP

Dated: January 27, 2005

Docket No.: 06502.0368-00000

D.K. Stier/S. Goodlette - Mail Drop ATL

(Due Date: 1/27/2005)

[Handwritten signature]



UNITED STATES PATENT AND TRADEMARK OFFICE

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6502. 0368

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER
1300 I STREET, N.W.
WASHINGTON DC 20005-3315

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APR 04 2005

OFFICE OF PETITIONS

ON PETITION

In re Application of
Guy L. Steele
Application No. 10/035,589
Filed: December 28, 2001
Attorney Docket No. 06502.0368

This is a decision on the petition, filed April 1, 2005, under 37 CFR 1.313(c)(2) to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on January 28, 2005 in the above-identified application cannot be refunded. If, however, the above-identified application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.¹

Telephone inquiries should be directed to the undersigned at (571) 272-3208.

The examiner of Technology Center AU 2124 will consider the request for continued examination under 37 CFR 1.114.

Karen Creasy
Karen Creasy
Petitions Examiner
Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy

RECEIVED
APR 06 2005
FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, LLP

¹ The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Issue Fee Transmittal Form PTOL-85(b), which includes the following language thereon: "Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or re-apply any previously paid issue fee to the application identified above." Petitioner is advised that, whether a fee is indicated as being due or not, the Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment. Note the language in bold text on the first page of the Notice of Allowance and Fee(s) Due (PTOL-85).

[Handwritten signature]



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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

22852 7590 05/06/2005
FINNEGAN, HENDERSON, FARABOW, GARRETT &
DUNNER
LLP
901 NEW YORK AVENUE, NW
WASHINGTON, DC 20001-4413

EXAMINER	
NGO, CHUONG D	
ART UNIT	PAPER NUMBER
2193	

DATE MAILED: 05/06/2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/035,589	12/28/2001	Guy L. Steele JR.	06502.0368	2895
TITLE OF INVENTION: FLOATING POINT UNIT FOR DETECTING AND REPRESENTING INEXACT COMPUTATIONS WITHOUT FLAGS OR TRAPS				

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$0	\$0	\$0	08/08/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

MAY 09 2005

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

FILED
FARABOW, GARRETT & DUNNER, LLP

If the SMALL ENTITY is shown as NO;

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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JAB/SRD/NAS

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PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Guy L. STEELE, Jr.

Confirmation No.: 2895

Application No.: 10/035,589

Group Art Unit: 2193

Filed: December 28, 2001

Examiner: Chuong D. Ngo

For: FLOATING POINT UNIT FOR DETECTING AND REPRESENTING INEXACT
COMPUTATIONS WITHOUT FLAGS OR TRAPS

1. Issue Fee Transmittal (1 page);
2. Fee Address for Maintenance Fee Purposes; and
3. Our check for \$1,700 to cover: (a) issue fee (\$1,400); and (b) publication fee (\$300).

Dated: August 4, 2005

Docket No.: 06502.0368-00

JAB/NAS/Sherri Shipe - Mail Drop 626



Due Date: 8/8/05

OKTel
8-5-05
JBT